PATENT SONY 3.0-053

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of David Waldman

Application No.

Filed: Herewith

For: APPARATUS AND METHOD FOR IDENTIFYING AND PURCHASING :

MUSIC

Group Art Unit:

Examiner:

Date: June 22, 2001



Commissioner for Patents Washington, D.C.

INFORMATION DISCLOSURE STATEMENT

Sir:

It is respectfully requested that the references listed on the enclosed Form PTO-1449 be made of record and considered with respect to the above-referenced U.S. patent application. A copy of each reference is enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Respectfully submitted,

LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP

JONATHAN A. DAVID Req. No. 36,494

600 South Avenue West Westfield, NJ 07090-1497 Telephone: (908) 654-5000 Facsimile: (908) 654-7866

> EXPRESS MAIL LABEL NUMBER: EL756207243US

309649_1

| FORM PTO-1449 U.S. Department of Commerce (Rev. 7-80) Patent and Trademark Office | | | Atty. Docket No. SONY 3.0-053 | | Application No. | | | | | |
|---|--|--|----------------------------------|----------------------------------|-----------------|---------------|----------------|---------------------------------------|--|--|
| LIST OF PRI | OR AR | T CITED BY APPLICAN | 1T | Applicant Julian D. Waldman | | | | | | |
| (Use several sheets if necessary) | | | | Filing Date: Herewith | | Group | | | | |
| | | | U.S. I | PATENT DOCUMENTS | | 1 | | | | |
| *EXAMINER INITIALS | | DOCUMENT NUMBER | DATE 5/2000 | NAME | CLASS | SUBCLAS | s | FILING DATE IF APPROPRIATE | | |
| | AA | 6,061,680 | | Scherf et al. | 707 | 3 | | | | |
| | AB | 5,557,541 | 9/1996 | Schulhof et al. | 364 | 514 | | N | | |
| | AC | | | | | | | F 700 | | |
| | AD | | | | | | | <u> </u> | | |
| | AE | | | | | | | 8 | | |
| | AF | <u> </u> | | | | | | <u> </u> | | |
| | AG | | | | | | | · · · · · · · · · · · · · · · · · · · | | |
| | AH | | | | | | | | | |
| | AI | | | | | | | | | |
| | AJ | | | | | | | | | |
| | AK | <u> </u> | FOREIG | N PATENT DOCUMENTS | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLAS | s | TRANSLATION | | |
| | AL | | | | | | YES | NO | | |
| | AM | | | | | | | | | |
| | _ | | | | | | | | | |
| | AN | | | | | | | | | |
| | AO | | | | | | | | | |
| | AP | | - | | | | | | | |
| | 1 | OTHER PRIOR A | ART (Inclu | ding Author, Title, Date, Peri | inent Pages, | Etc.) | | | | |
| | | Web pages from emarke | r website (v | vww.emarker.com). | | | | | | |
| AR | | | | | | | | | | |
| | | _ | | | | | | | | |
| | AS | R. McNab, et al. "The New Zealand Digital Library MELody inDEX," D-Lib Magazine, May 1997. | | | | | | | | |
| | | | | | | | | | | |
| | Web pages from gracenote website (www.gracenote.com) | | | | | | | | | |
| | AT | | | | | | | | | |
| EXAMINER | <u>L</u> | <u> </u> | | DATE CONS | IDERED | | | | | |
| *EXAMINER | : Initial | if reference considered, whe | ther or not c | itation is in conformance with M | PEP 609; Dra | w line throug | th citation if | not | | |

| FORM PTO-1449 U.S. Department of Commerce (Rev. 7-80) Patent and Trademark Office | | Atty. Docket No. SONY 3.0-053 | | | | Application No. | | | | |
|---|----------------------|--|--------------|------------------------|---------------------------------------|-----------------|------------|----------|----------------------------------|----------------|
| LIST OF PRI | OR AR | T CITED BY APPLICAN | TV | Applicant Julian D. | Waldman | | | | | |
| (Use several sheets if necessary) | | | | Filing Date: Herewith | | | | Group | | |
| | | | U.S. 1 | PATENT DO | CUMENTS | | | | | |
| *EXAMINER INITIALS | | DOCUMENT NUMBER | DATE | | NAME | CLASS | SUBCLA | ss | FILING DATE IF APPROPRIATE | |
| | AA | | | | | | | | | |
| | AB | | | | · . | _ | l | | | |
| | AC | | | | · · · · · · · · · · · · · · · · · · · | | | | -1 | ~ ⊙ |
| | AD | | | | | | | | | <u> </u> |
| | AE | | | | | | | | | |
| | AF | | | _ | | <u> </u> | | | | ~ = |
| | AG | | - | - | | | | | | - |
| | AH | | | | | | ! | | | |
| | AI | | | | | <u> </u> | | | | |
| | AJ | | | <u> </u> | | | | | <u> </u> | |
| | AK | | FOREIG | N PATENT I | OCUMENTS | | * | | | |
| | | | | | N PATENT DOCUMENTS | GLASS. | GLIDGI 100 | | TRANS | SLATION |
| | | DOCUMENT NUMBER | DATE | COUNT | DUNTRY | CLASS | SUBCLASS | | YES | NO |
| | AL | | | | | | | | | |
| | AM | | | | | | | | | |
| | AN | | | | | | | | | |
| | AO | | | | | | | | | |
| | AP | | | | | | | | | |
| | | OTHER PRIOR | ART (Inclu | iding Author, | Title, Date, Pert | inent Pages, | Etc.) | | | |
| | | | | 1. 2. 7 | | | | | | |
| | AR | AR Webpages from MongoMusic.com website (www.mongomusic.com) | | | | | | | | |
| | | | | | | | | <u>.</u> | | |
| | AS | | | | | | | | | |
| | | | | | 17.1 | | | | | |
| | AT | | | | | | | | | |
| - FV: 125 | | | | | | DEDEE | | | | |
| EXAMINER | | : C C | ab | | DATE CONS | | u lies et | - مارس | tation it - | not |
| in conformal | : initial nce and | if reference considered, whe not considered. Include | copy of this | s form with ne | ext communication | on to applica | mt. | agn ci | iaiiOii II N | OL, |